

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

12/31/00

In re	the Application of:)
,		

Ford, Robert M.

Group Art Unit:

2766

Serial No.: 09/330,446

Examiner:

Leslie K. Nguyen

Filed: June 11, 1999

For:

SYSTEM AND METHOD FOR MANAGING

TIER-PRICED COMMODITIES TRANSACTIONS

CERTIFICATE OF FIRST-CLASS MAILING

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David Chametzky

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AMENDMENT AND RESPONSE

Please amend Claim 10 as follows:

10 (Amended). The method of claim 9 wherein said -- indemnification is a -- financial instrument [is] designed to protect against loss associated with interruptions in the delivery of said second tier commodity.

Remarks

This paper is responsive to the Office Action mailed October 2, 2000. Reconsideration of the subject application is respectfully requested.

Please type a plus sign (+) inside this box +

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/330,446 **Application Number** TRANSMITTAL Filing Date 6/11/99 **FORM** FORD, Robert **First Named Inventor** (to be used for all correspondence after initial filing) Group Art Unit 2766 **Examiner Name** NGUYEN, Leslie Total Number of Pages in This Submission 13 Attorney Docket Number | 23312 - 1001 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers (for an Application) Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT John M. Johnson Firm Reg. No. 33,334 Individual name

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